# Understanding Trauma-Informed Care for APS Supervisors

# **Participant Manual**

Virtual Course





### **PARTICIPANT MANUAL**







This training was developed by the Academy for Professional Excellence, with funding from the California Department of Social Services, Adult Programs Division.



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### **PARTICIPANT MANUAL**

# **INTRODUCTION**

# THE ACADEMY FOR PROFESSIONAL EXCELLENCE

We are pleased to welcome you to Understanding Trauma-Informed Care for APS Supervisors in APS, developed by Adult Protective Services Workforce Innovations (APSWI), a program of the Academy for Professional Excellence under a grant from the California Department of Social Services, Adult Programs Division.

The Academy for Professional Excellence, a project of San Diego State University School of Social Work, was established in 1996 to provide exceptional workforce development and organizational support to the health and human services community by providing training, technical assistance, organizational development, research, and evaluation. Serving over 20,000 people annually, the Academy continues to grow with new programs and a diversity of training focused on serving the health and human services community in Southern California and beyond.

The Academy is a project of San Diego State University School of Social Work (founded in 1963), which offers both a bachelor's and master's degree in Social Work. The School of Social Work at San Diego State University was founded in 1963 and has been continuously accredited by the Council of Social Work Education since 1966.

APSWI is a program of the Academy for Professional Excellence. APSWI is designed to provide competency-based, multidisciplinary training to Adult Protective Services professionals and their partners. APSWI's overarching goal is the professionalization of Adult Protective Services professionals to ensure that abused and vulnerable older adults and adults with disabilities receive high quality, effective interventions and services.

In partnership with state and national organizations, APSWI is developing a national APS Supervisor Core Competency Training Curriculum. This curriculum is developed, reviewed and approved by experts in the elder and dependent adult abuse fields.

# APSWI's partners include:

- National Adult Protective Services Association (NAPSA) Education Committee
- California Department of Social Services (CDSS), Adult Programs Division
- County Welfare Directors Association of California (CWDA), Protective Services Operations Committee (PSOC)

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## **ACKNOWLEDGEMENTS**

This training is the result of a collaborative effort between Adult Protective Services administrators, supervisors, staff development officers and workers across the state and the nation; professional educators; and the Academy for Professional Excellence staff members. APSWI would like to thank the following individuals and agencies:

# **Agencies**

California Department of Social Services, Adult Programs Division Arizona Department of Economic Security, DAAS-Adult Protective Services National Adult Protective Services Association

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National Adult Protective Services Association Education Committee

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# **EXECUTIVE SUMMARY**

# UNDERSTANDING TRAUMA-INFORMED CARE FOR APS SUPERVISORS

There are critical intersections between adult maltreatment and trauma over the life course and research, practice, and training for caseworkers and supervisors from a trauma-informed framework is crucial. Join us for this interactive, foundational training on a trauma-informed framework for APS supervisors. We'll learn about a trauma-informed care approach from biological, programmatic, and policy levels. We'll explore the definition of trauma, the ACEs study and its impact on our understanding of trauma across the lifetime, the link between trauma and adult maltreatment, and some practical tips and tools for you and your staff to use on the job.

# Virtual Training:

- The following virtual instructional strategies are used throughout the course: short lectures (lecturettes), interactive activities/exercises including breakout groups, chat box discussions, large group discussions, selfreflection, poll options, and individual practice. PowerPoint slides and roleplaying/demonstrations are used to stimulate discussion and skill development.
- Participants will need access to a computer with video conferencing capability and be able to connect to the virtual platform being used to deliver this training. A headset or earbuds with microphone and a video camera are highly encouraged. Participant Manual is a fillable PDF if using Adobe Acrobat. Participants are encouraged to either print a hard copy or ensure access to Adobe Acrobat to allow for highlighting, typing in comments and filling out worksheets.

**Course Requirements:** Completion of the entire module is required to receive course completion credit.

**Target Audience**: This workshop is intended for new supervisors or experienced staff who may require a refresher.

# **Outcome Objectives for Participants:**

By the end of this training, participants will be able to:

- Explain SAMHSA's trauma-informed three-part model, and how trauma can lead to neuro-dysregulation.
- Describe the relationship between Adverse Childhood Experiences (ACEs) and trauma in early life, and elder abuse, adult disease, disability, and early death.

Continued

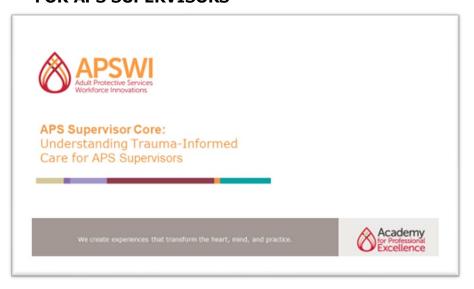
- Identify the ways trauma impacts people throughout their lifespans, the signs of trauma in older adults and adults with disabilities, and best practices for asking about exposure to trauma.
- Distinguish between a threat to physical safety and a risk to emotional security using a decision tree; and
- Apply trauma-informed care principles to safety screening and safety planning.

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# **COURSE OUTLINE**

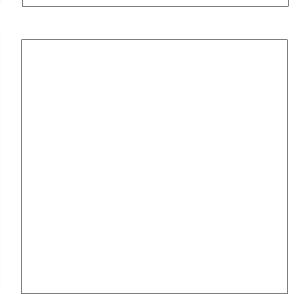
| CONTENT   | MATERIALS                        | TIME       |
|---|----------------------------------|------------|
| WELCOME, INTRODUCTIONS, & COURSE OVERVIEW                                       |                                  | 20 minutes |
| Welcome, Housekeeping, Technology<br>Overview, and Introductions                | Lecture Slides 1-7               | 18 minutes |
| Learning Objectives   | Lecture Slide 8                  | 2 minutes  |
| WHAT IS TRAUMA?   |                                  | 45 minutes |
| What is Trauma, Trauma Defined  | Lecture Slides 9-10              | 2 minutes  |
| SAMHSA's 3 Part Model   | Lecture Slide 11                 | 3 minutes  |
| Mrs. X Case Study   | Activity# 1 Slides 12-13         | 30 minutes |
| Neuro-Dysregulation   | Lecture Slide 14                 | 2 minutes  |
| Polling Questions   | Polling Activity<br>Slides 15-17 | 8 minutes  |
| BREAK   |                                  | 10 minutes |
| ACEs (ADVERSE CHILDHOOD EXPERIENCES)  |                                  | 40 minutes |
| ACEs and Understanding Childhood<br>Trauma                                      | Lecture Slides 18-<br>20         | 7 minutes  |
| Historical Collective Trauma and Trauma<br>Among Adults with Disabilities       | Lecture Slides 21-<br>28         | 11 minutes |
| The ACEs Ratio of Risk Scale  | Lecture Slide 29                 | 5 minutes  |
| Clinical Implications and Adult Disease   | Lecture Slides 30-<br>34         | 10 minutes |
| Polling Questions   | Polling Activity<br>Slides 35-37 | 7 minutes  |
| TRAUMA ACROSS THE LIFESPAN  |                                  | 50 minutes |
| Developmental Traumatology and the Intersection of APS and Trauma Informed Care | Lecture Slides 38-<br>41         | 10 minutes |

| Decision Tree Polling Activity     | Polling Activity<br>Slide 50         | 10 minutes |
|------------------------------------|--------------------------------------|------------|
| Safety Talk Screening Activity     | Partner Activity # 4<br>Slides 51-52 | 25 minutes |
| Safety Talk Planning in the Moment | Lecture Slide 53                     | 4 minutes  |
| Transfer of Learning               | Lecture Slide 54                     | 2 minutes  |
| Written Statement of Commitment    | Lecture Slide 55                     | 1 minute   |
| WRAP-UP AND EVALUATIONS            |                                      | 25 minutes |
| Conclusion                         | Lecture Slide 56                     | 3 minutes  |
| Closing Thoughts                   | Lecture Slide 57                     | 7 minutes  |
| Delta/Plus, Evaluations, Thank you | Evaluations Slides 58-60             | 15 minutes |
| Total Time (Including Breaks)      |                                      | 4 hours    |
| TRANSFER OF LEARNING               | On Own                               | 30 minutes |









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## Housekeeping



- Video Camera
  - · Option to hide "self view"
- · Mute, unmute
- · Chat box
- · Reactions:
  - · Thumbs up, clap, raise hand, heart emoji, etc.
- · If you must step away
- · Potential technical glitches

What are some of the benefits, skills, tools or tips you hope to gain by the end of the training?













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# Trauma Defined

Trauma results from an event, series of events, or set of circumstances that is experienced by an individual as physically or emotionally harmful or threatening and that has lasting adverse effects on the individual's functioning and physical, social, emotional, or spiritual well-being.

# TRAUMA

can affect

be one event, many events, or a chronic condition

can affect communities, cultures, and generations

# SAMHSA's Three-Part Model

### **Defining Trauma**

Exposure to negative event (+E)

Systems of coping unavailable to individual ( - C )

Chronic neuro-dysregulation ( -S )

Individual trauma results from <a href="exposure">exposure</a> to an event, series of events, or set of circumstances that is experienced by an <a href="individual">individual</a> as physically or emotionally harmful or life threatening and that has <a href="lasting">lasting</a> adverse effects on the individual's functioning and physical, social, emotional, or spiritual well-being.

SAMHSA (Substance Abuse Mental Health Services Administration) https://incsacw.samhsa.gov/userfiles/files/SAMHSA\_Trauma.pdf

# Activity #1 Mrs. X Case Study



Small Group Activity #1 The Case Study of Mrs. X

- Read the case study of Mrs. X
- Discuss in your small groups the following questions...
- What are some examples in the story that Mrs. X has had exposure to a negative event?
- What are some of Mrs. X's reactions, examples of her beliefs and behaviors that she's been exposed to a traumatic event
- If Mrs. X were on your staff's caseload, what would your staff be able to change regarding her exposure to trauma? What would they be unable to change?

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| Case Scenario of Mrs. X   |  |
|---|--|
| • Mrs. X's son verbally and often physically abuses her when he gets angry. When discussing the situation with the APS caseworker, Mrs. X states that she does not want her son prosecuted. She feels that, no matter how mean her son is, she has to protect him "because she didn't when he was a child". The APS caseworker asks what Mrs. X means and she says her now deceased husband drank a lot and was violent with her and the children. She feels her son learned his violent behavior from his father and it is her fault. Mrs. X also feels guilty that she let her husband "drink up every paycheck" which left her unable to provide for her children. |  |
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# Chronic Neuro-Dysregulation Chronic Neuro-Dysregulation The third part of SAMHSA's three-part model: Chronic neuro-dysregulation Neuro-Dysregulation: Result of tension on the nervous system that causes imbalance of neurological function.

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# Polling Activity Question 1



# Check Your Learning

Which of these statements about trauma are true? Select the best answer, then click submit.

- O Whether an event is traumatic to an individual depends on their experience of it.
- O Trauma involves an event or events that induce physical harm
- o An event that threatens harm is less traumatic than an event that causes harm
- o If you do not perceive an event as traumatic, you can assume nobody would.

# Polling Activity Question 2



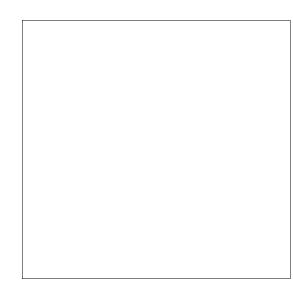
# Check Your Learning

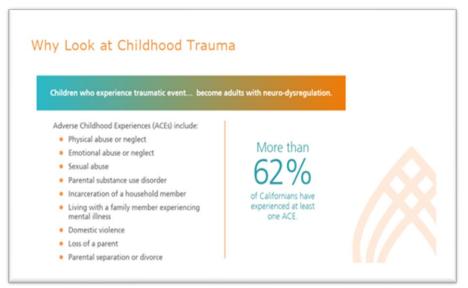
Neuro-dysregulation is Select all that apply, then click submit.

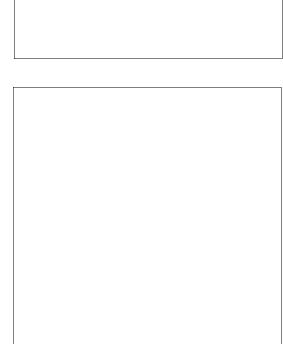
- A result of tension on the nervous system
   Caused by trauma, stress, or substance use
   Resolved with healthy nutrition
- o An imbalance of neurological function

# Polling Activity Question 3 Check Your Learning True or False. Exposure to a negative event is not necessarily traumatic. True False









### Why Look at Childhood Trauma Children who experience traumatic event... become adults with neuro-dysregulation. Certain populations are more likely to have experienced four Experiencing four or more ACEs increases the likelihood or more ACEs: · Poor mental health · Black and Latino people Risky behaviors, such as acute substance use or · Those with less than a college or technical degree · Chronic disease, including: Those with annual Heart disease COPD household incomes below Diabetes Obesity \$25,000 Stroke Asthma . Those with Medi-Cal or no health insurance

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# Adverse Community Experiences Adverse Childhood Experiences are individual Adverse Community Experiences are collective, including: Housing displacement due to gentrification Inequitable access to green spaces Poor transportation General anti-immigrant bias TRAUMA IS BOTH INDIVIDUAL AND COLLECTIVE.

# What is Collective/Historical Trauma?

- · Historical Collective Trauma is a wounding across generations
- The Lakota (Teton Sioux) historical trauma is associated with massive group trauma across generations.
- Similar traits have been identified among Jewish Holocaust descendants.

# What is Collective/Historical Trauma

- · Specific features include:
- o Transposition-Living simultaneously in the past
- o Identification with the dead
- o Maintaining loyalty to dead ancestors
- Survivor's guilt
- Fixation on trauma
- o Fantasy and attempt to undo the past



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# What are the Manifestations of a Collective/Historical Trauma Response

- · Manifestations include:
- o Depression
- Self-Destructive Behavior
- Psychic numbing
- o Elevated mortality rates
- o Cardiovascular disease



# Historical Collective Trauma and the African American Population

- Post Traumatic Slave Syndrome (PTSS)
- O A condition resulting from centuries of chattel slavery
- O Institutional racism and oppression results in multigenerational adapting behavior
- O Behavior can be resilient or destructive



# Historical Collective Trauma and the African American Population

- Jim Crow Segregation
  - o Occurred between 1896-1960's
  - o Racially traumatizing events included rape, lynching (loss of life) and loss of land
- Segregation Stress Syndrome
  - o Unable to protect their family or themselves in dangerous situations
  - Underlying aspect is that an individual or their family could be killed or harmed at any time

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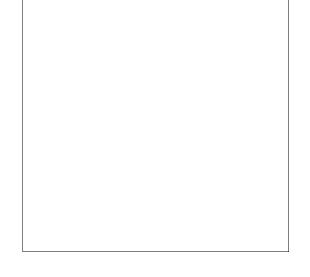
# Historical Collective Trauma and the African American Population

- · Emotional, Psychological, Behavioral responses
  - o Fear, mistrust, and avoidance
  - Memories triggered by symbols of Jim Crow (i.e. voting sanctions, police brutality)
- Physical Indicators (i.e. emotional numbness, crying, sweating, trembling when reflecting on events)

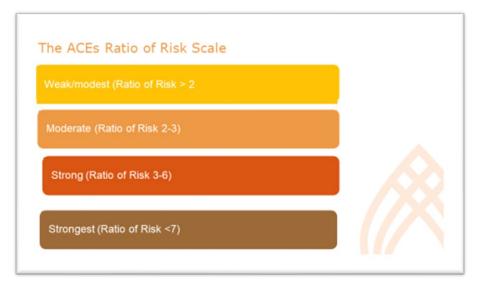
# g, trembling

# Collective Trauma Among Adults with Disabilities

- Emotional/Behavioral Communications of betrayal and violation have often been mistakenly associated with person's disability
- Consequences of physical and sexual assault are worsened by the fact that complaints made are often not taken seriously



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# Clinical Implications

ACEs screening is **NOT** to identify the ACEs a person has experienced.

ACEs are risk factors for the development of the toxic stress response.

Toxic stress response leads to changes in:

- neuro-development
- development of the immune system
- development of hormonal systems

All of these together lead to behavioral and physical health problems.

The best indicator of whether someone is at risk for or experiencing toxic stress response is an understanding of their cumulative adversity.

This is why it's imperative to screen for ACEs.

# ACEs and Older Adults and Adults with Disabilities

- Studies of older adults and abuse or neglect at the hands of a caregiver or partner found childhood abuse to be a notable risk factor for re-victimization.
- The experience of a prior traumatic event was associated with increased risk of elder mistreatment, a finding observed in the literature on younger adult mistreatment.

# Increased Unsafe Behaviors in the Present

- Substance abuse and addiction
- Promiscuous sexual behavior
- Lack of personal boundaries
- Isolation and difficulty trusting others
- Humiliation and selfblame
- · Shame, low self-esteem
- Inability to form meaningful relationships
- Inflated sense of power due to the caregiving demands made on the survivor as a child
- · Sense of not belonging anywhere

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### Adult Disease

ACEs contribute to Adult Disease by 2 mechanisms:

- It happens externally...
- 1. Poor coping skills of engaging in Unsafe Behaviors (i.e. Substance Abuse, isolation, and a lack of personal boundaries) increase an individual's risk of developing disease.
- It happens Internally...
- 2. The effects of chronic stress and other stress responses on the developing brain and body systems create a greater risk of the development of disease.
- 3.If an individual realizes why they are engaging in these unsafe behaviors they are better equipped to stop the behavior.

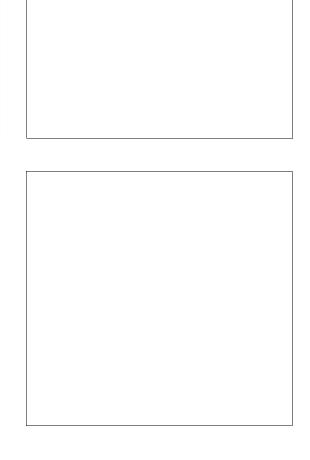
### What is Immunosenescence & Inflammaging?

### Immunosenescence:

 Immunosenescence: Age-related changes in immune system functioning and the process of how aging affects the immune system.

### Inflammaging:

- Inflammaging: Inflammation that develops with advanced age that can impair the immune system.
- Older patients are particularly vulnerable to poorer outcomes after traumatic injury
- It's important for APS staff to understand normal age-related shifts cognition as well as more extreme disease related declines



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# Polling Activity Question 1

# Check Your Learning

The toxic stress response is repeated exposure to adversity, which leads to changes in the development of which systems? Select all that apply, then click submit.

- Neurological
- o Immune o Hormonal
- o Sensory

# Polling Activity Question 2



# Check Your Learning

According to a 2009 study, experience of a traumatic event in early life was associated with increased risk of: Select the best answer, then click submit.

- o Elder Maltreatment
- o Problematic drug use
- Domestic violence
- o Divorce

# Polling Activity Question 3



# Check Your Learning

Some examples of ACEs include: Select all that apply, then click submit.

- O Physical or emotional abuse by a parent
- o Poverty or economic instability
- O Divorce, parental separation, or loss of a parent
- o Sexual abuse



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# What Does Being Trauma Informed Mean?



## Near Universal Trauma Exposure

Being trauma-informed means being able to inform, detect early and treat or refer to treatment in a manner that increases the physical and emotional safety of the individual



# **Developmental Traumatology**

### Developmental Traumatology: Impact Across the Lifespan

"The implications of this framework for the practice of medicine, in general, and pediatrics, specifically, are potentially transformational. They suggest that many adult diseases should be viewed as developmental disorders that begin early in life and that persistent health disparities associated with poverty, discrimination or maltreatment could be reduced by the alleviation of toxic stress in childhood."

American Academy of Pediatrics, The Lifelong Effects of Early Childhood Adversity and Toxic Stress, 2012



Brown et al., 2009

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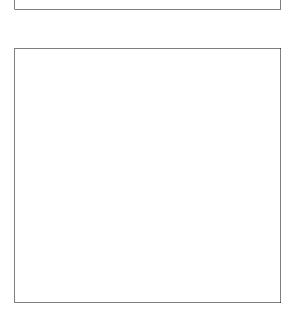
# Intersection of APS and Trauma-Informed Care Advancing Trauma-Informed Responses to Elder Abuse: Practice, Research, Education and Policy Symposium, October 2018 "A relatively new and highly interdisciplinary field of study and practice: trauma-informed care for older victims of abuse." "Fundamentally, providing trauma-informed care to older victims of abuse is about supporting healing and hope among people who may have years more to live and much to give." "Most important takeaway: trauma among the elderly needs to be understood in developmental terms."

# Small Group Discussion Activity Discussion

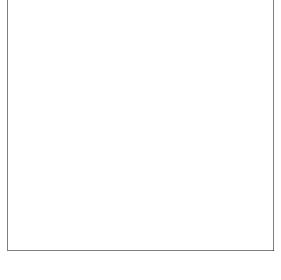


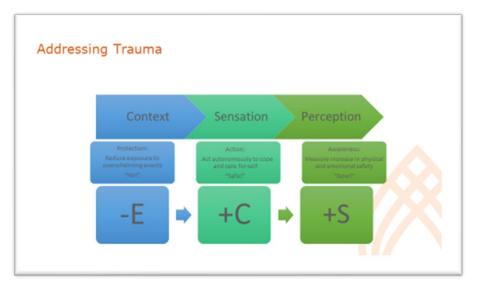
- Activity # 1:

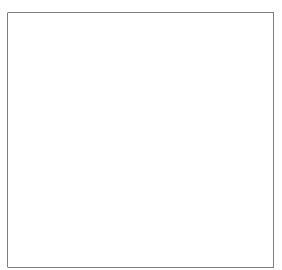
  If we looked at APS practice in terms of supporting healing and hope how might that change the way your staff works with clients?
  - As a supervisor how would you support your staff in making this shift?

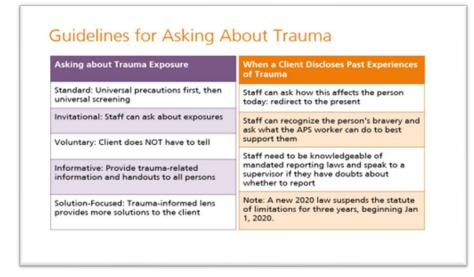


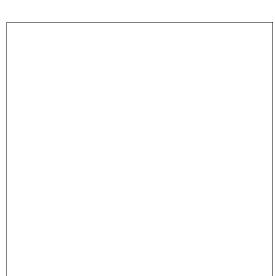






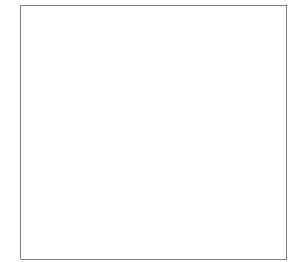






# A policy or procedure that guides the APS worker to use the handout will result in more use of the handout. A Recovery Bill of Rights FOR Trauma Survivors WY TRITLE OF YOUR PERSONAL AUTHORITY YOU HAVE THE RIGHT TO... Whenever Y Mapping your Ms according to your down Value and Judgment. Direct your recovery, preventable to no one for your great or of progress. Gaster information to make inneligent decisions. General form empress without bring to enter the recovery for everything and at one for the proposed of the progress. General form empress with progress of the progress of







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### Skill: Decision Tree to Balance Threat and Risk

| Physical Safety (Threats)   | Emotional Safety (Risks)  |
|---|---|
| Expectation of bodily integrity   | Expectation of personal respect and autonomy                                |
| Absence of (threat of) physical injury                                  | Absence of humiliation  |
| Objective: general agreement  | Subjective: often debated   |
| The threat is immediate or imminent. Right now!                         | The risk is not immediate. There is some time                               |
| Follow rules/laws, procedures, practice, system response and correction | Identify choices, agreements, support, progress, review – repeat over again |
| ACTION! RUN! YELL! HIDE! FIGHT! PRAY! (FOLLOW POLICY)                   | CONSIDER: ALL OPTIONS AVAILABLE - (SAFETY PLAN)                             |

Activity developed by Grant, 2015

# Decision Tree Activity Polling Questions 1-5

 Is this an example of a risk or a threat?

Select the best answer.

- 1.Mrs. Jackson is crying because her husband has passed and her friends are "all gone." She tells you she wants to die.
  2.While walking through his neighborhood Mr. Martinez gets stung by a bee and he's
- allergic to bees.
- 3.On Friday morning Ms. McMillian tells you she will be going out on Saturday to meet in person a gentieman she has only communicated with over the internet.

  4.Mr. Hadid collects cleaning solvents in his garage. He does not use them to clean his home which is growing increasingly dirty.
- 5.Ms. Zhang has lost power to her home. Without power, she is unable to use her oxygen concentrator.

## Partner Activity: Safety Talk Screening



- Do you feel safe speaking to me today?
  - If not, what would help you feel safer?
  - Safety strategies in the moment.
  - · Action plan.
- 2. Do you feel safe at home today?
  - If not, how can we help you feel safer?
  - · Safety plan for coming days or weeks.
  - · Short-term safety plan.
- 3. Did you feel safe in your home as a child?
  - . If not, how does that affect you today?
  - In general, struggles in childhood can re-appear later in life, sometimes unsafely like hoarding.
  - Tailored, individualized plan to remain safe, independent and active.

Developed for County of Ventura 2015 by G. Grant

# **PARTICIPANT MANUAL**

### Case Scenario of Mrs. X

• Mrs. X's son verbally and often physically abuses her when he gets angry. When discussing the situation with the APS caseworker, Mrs. X states that she does not want her son prosecuted. She feels that, no matter how mean her son is, she has to protect him "because she didn't when he was a child". The APS caseworker asks what Mrs. X means and she says her now deceased husband drank a lot and was violent with her and the children. She feels her son learned his violent behavior from his father and it is her fault. Mrs. X also feels guilty that she let her husband "drink up every paycheck" which left her unable to provide for her children.

| ĺ |  |
|---|--|

### Skill: Safety Planning in the Moment

Environmental awareness using the senses

- Use a scale (like a pain scale)
  - 0 (= no bad or painful feelings) through 10 (= worst ever)
- · Reduce emphasis on talking or processing feelings
- · Physical environment awareness
- · Ask to notice feet on ground, back against chair, object in hand
- · Keep eyes open, breathe while squeezing and releasing hands

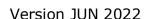
Goal is measurably decreased dysregulation by creating visceral homeostasis and thus increasing physical and emotional safety.

## **PARTICIPANT MANUAL**

# Transfer of Learning Activity: Statement of Commitment Draft a Statement of Commitment to traumalinformed care for your team. Reference Handout. Share with your team in the next two weeks.

### Written Statement of Commitment

- 1. Connect trauma exposure/effects to the service or populations being served
  - "Given the clear connection between the developmental impact of trauma on aging and age related vulnerabilities, illnesses and diseases, and older adults' quality of life outcomes...."
- 2. Express specific goal of the commitment
- 3. Specify actions that agency staff will take, and the measures used
  - "...by incorporating safety and recovery skills into the standard set of skills of each employee, we aim to protect older and vulnerable adults, create a safer community for aging in place, and provide resources to promote older and vulnerable adult community and independence...."
- 4. End with a vision or values statement designed to inspire hope.
  - "We believe services grounded in safe connections are a vehicle for safety, healing and wellbeing." (Add date of approval)



# **PARTICIPANT MANUAL**



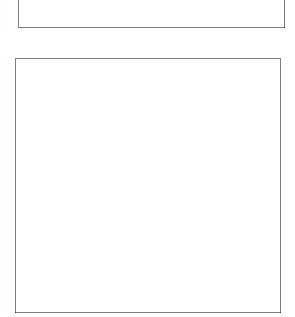
# Closing Thoughts

- Questions?
- Reflections?
- · Takeaways?



# Plus/Delta

- What worked?
- What would you like to see more of?
- What didn't work?
- What would you like to see less of?
- What would you like us to change the next time we deliver this training?



# **PARTICIPANT MANUAL**

# Evaluation

Date of Training

Online Evaluation

Link:

Activity ID:



# Thank You!

We envision a world where the quality of life for individuals, organizations, and communities is transformed into a healthier place.









### Web Resources

- ACEs Aware Self-Care Tool for Adults
- ACE Study (aceresponse.org)
- Trauma Symptom Checklist 40 (TSI-40) | John Briere (instanturl.net)
- What s My ACE Score questionnaire (aceresponse.org)



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### **Web Resources**

- National Center for Reaching Victims includes older adults: https://reachingvictims.org
  - Older African American Crime Survivors Toolkit: https://reachingvictims.org/resource/increasing-access-toolkit/
- Advancing Trauma Informed Responses to Elder Abuse:
  - Webinar: <a href="https://www.elderjusticecal.org/recording---advancing-trauma-informed-responses-to-elder-abuse.html">https://www.elderjusticecal.org/recording---advancing-trauma-informed-responses-to-elder-abuse.html</a>
  - Report: <a href="https://ncea.acl.gov/NCEA/media/Publication/WCEJ Trauma-Symposium-report-2020.pdf">https://ncea.acl.gov/NCEA/media/Publication/WCEJ Trauma-Symposium-report-2020.pdf</a>
- Trauma Informed Approaches for Adult Protective Services Brief (APS TARC)
  - https://apstarc.acl.gov/getattachment/Education/Briefs/Trauma-InformedApproachtoAPS.pdf.aspx?lang=en-US
- California Elder Justice Coalition
  - https://www.elderjusticecal.org
- Disability Rights California
  - https://www.disabilityrightsca.org
- ACEs Aware Self-Care Tool for Adults
  - ACEs Aware Self-Care Tool for Adults
- ACE Study
  - ACE Study (aceresponse.org)
- Trauma Symptom Checklist 40
  - Trauma Symptom Checklist 40 (TSI-40) | John Briere (instanturl.net)
- What's My ACE Score Questionnaire
  - Whats My ACE Score questionnaire (aceresponse.org)

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# REVOLUTIONIZE THE WAY PEOPLE WORK TO ENSURE THE WORLD IS A HEALTHIER PLACE.